

BEFORE THE INSURANCE COMMISSIONER OF THE
STATE OF OKLAHOMA

FILED

MAY 23 2018

INSURANCE COMMISSIONER
OKLAHOMA

STATE OF OKLAHOMA, ex rel. JOHN D.
DOAK, Insurance Commissioner,

Petitioner,

v.

TOM L. HAMBY,
a resident producer,

Respondent.

Case No. 18-0018-DEN

STIPULATION AND AGREED ORDER

COMES NOW the State of Oklahoma, ex rel. John D. Doak, Insurance Commissioner, and the Respondent, Tom L. Hamby ("Respondent") and stipulate to the following facts and applicable laws. The parties agree to entry of this Order.

JURISDICTION

1. John D. Doak is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq. including the Oklahoma Producer Licensing Act, 36 O.S. § 1435.1 et seq..

2. Respondent was an insurance producer holding license number 198724, was licensed by the State of Oklahoma as a resident insurance producer as defined and required by 36 O.S. § 1435.2(7). His address of record is [REDACTED]

3. Informal disposition of this matter may be made by stipulation pursuant to 75 O.S. § 309(E).

STIPULATIONS OF FACT

1. The Oklahoma Insurance Department (the "Department") filed a Notice of Hearing and Order to Show Cause in above styled case on May 1, 2018, ("Notice of Hearing"). Respondent was served with the Notice of Hearing on May 3, 2018. The Allegations of Facts as detailed in the Notice of Hearing are incorporated herein.

2. Respondent, while not admitting or denying the Allegations of Facts, agrees that the Hearing Examiner could find by clear and convincing evidence he committed the actions as alleged in the Notice of Hearing and that he is in violation of the Oklahoma Insurance Code.

CONCLUSIONS OF LAW

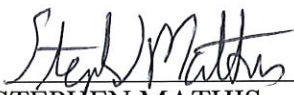
1. Respondent violated 36 O.S. § 1435.13(A)(7).
2. Respondent violated 36 O.S. § 1435.13(A)(8).

AGREED ORDER

Based on the above stipulations of fact and conclusions of law, it is therefore ORDERED by the Insurance Commissioner that Respondent's producer license is hereby REVOKED.

WITNESS My Hand and Official Seal this 23 day of May 2018.




STEPHEN MATHIS
HEARING EXAMINER

APPROVED:

A handwritten signature in cursive script, appearing to read "Sara A. Worten", written over a horizontal line.

Sara A. Worten
Assistant General Counsel
Oklahoma Insurance Department

A handwritten signature in cursive script, appearing to read "Richard Fogg", written over a horizontal line.

Richard Fogg
Attorney for Respondent, Tom L. Hamby

A handwritten signature in cursive script, appearing to read "Tom L. Hamby", written over a horizontal line.

Tom L. Hamby
Respondent

CERTIFICATE OF MAILING

I, Sara A. Worten, hereby certify that a true and correct copy of the above and foregoing Stipulation and Agreed Order was mailed by first class U.S. Mail and by certified mail with postage prepaid and return receipt requested on this 23rd day of May, 2018 to:

Tom L. Hamby



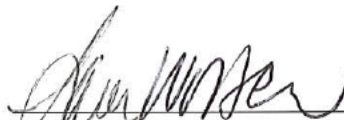
CERTIFIED MAIL NO:
9214 8902 0982 7500 0088 44

Richard Fogg
421 S. Rock Island
El Reno, OK 73036
Attorney for Respondent

CERTIFIED MAIL NO:
9214 8902 0982 7500 0088 51

and that a copy was delivered to:

Courtney Phillips/Producer Licensing Division
Mark Drummond/ Anti-Fraud Team


Sara A. Worten