

**TITLE 365. INSURANCE DEPARTMENT  
CHAPTER 1. ADMINISTRATIVE OPERATIONS  
SUBCHAPTER 9. DESCRIPTION OF FORMS AND INSTRUCTIONS**

**365:1-9-5. Surplus lines forms**

(a) ~~Surplus lines broker quarterly and annual filings summary includes~~ **Surplus lines broker quarterly and annual filings and tax payments summary form**. The surplus lines broker quarterly ~~and annual filings summary includes~~ shall include, but not be limited to, ~~forms that detail details on~~ the types of coverages written, the aggregate amount of insurance issued, the gross premiums charged, and the gross return premium. All surplus lines filings and tax payments shall be filed in the electronic database in the form and manner prescribed by the Commissioner and in accordance with any instructions set forth on the Department's website. Form SL-3a must be signed by the broker, notarized, and filed on or before the last day of the month following the close of a calendar quarter. The specific forms are:

- ~~(1) SL-3a Affidavit of a true and correct report. File in one part.~~
- ~~(2) SL-3b Spreadsheet to accumulate premium and tax data by the company number and company name of the surplus line carrier. File in one part only.~~
- ~~(3) SL-3c Affidavit of coverage. File in one part.~~
- ~~(4) SL-3d Affidavit for return of premiums and the credit or refund of premium taxes.~~

(b) ~~Surplus lines direct placement by an insured summary includes~~ **Surplus lines direct placement by an insured summary form**. The direct placement by an insured summary includes forms that detail the types of coverages written, the aggregate amount of insurance issued, the gross premiums charged, the gross return premium, and the tax due. Form DSL-3a must be signed by the affiant, generally the individual responsible for procuring risk coverage, notarized and filed within thirty (30) days next succeeding the issuance of evidence of coverage. The specific forms are:

- ~~(1) DSL-3a Affidavit of a true and correct report. File in one part.~~
- ~~(2) DSL-3b Spreadsheet to accumulate premium and tax data by the company number and company name of the surplus lines carrier. File in one part only.~~
- ~~(3) DSL-3c Affidavit of coverage. File in one part.~~
- ~~(4) DSL-3d Affidavit for return of premiums and the credit or refund of premium taxes.~~