

TPA Statutes and Rules

<p>Third Party Administrator Instructions & Forms</p> <ul style="list-style-type: none"> - www.oid.ok.gov 	<p>https://www.oid.ok.gov/regulated-entities/regulated-industry-services/#third-party-administrator</p>
<p>Title 36 O.S. §1442 Definitions</p> <ul style="list-style-type: none"> - What is an Administrator - Exemptions from Administrator License 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86561</p>
<p>Title 36 O.S. §1443</p> <ul style="list-style-type: none"> - Written Agreement to act as an Administrator - Copies of Trust Agreement & Amendments to Insurer - Retention of Agreement - Examination, Audit, Inspection - Principal Administrative Office & Access to Books & Records 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86562</p>
<p>Title 36 O.S. §1444 Payments to Administrator</p> <ul style="list-style-type: none"> - Premiums or Charges for Insurance 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86563</p>
<p>Title 36 O.S. §1445 Charges or Premiums Collected or Paid</p> <ul style="list-style-type: none"> - Fiduciary Capacity and Account - Deposits, Withdrawals, Remittance 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86564</p>
<p>Title 36 O.S. §1446 Publishing and Advertising</p> <ul style="list-style-type: none"> - Publication and Mailing of Advertising Material 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86565</p>
<p>Title 36 O.S. §1447 Material, Compensation, Licensed Insurance Agents</p> <ul style="list-style-type: none"> - Policies, Certificates, Booklets, Notices, Written Communications - Compensation to Administrator - Use of Licensed Insurance Agents 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86566</p>
<p>Title 36 O.S. §1448 Surety Bond of Administrator</p> <ul style="list-style-type: none"> - Requirements of the Surety Bond - Cancellation Notice 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86567</p>
<p>OAC 365:25-3-12(f) Amount of Surety Bond Required</p>	<p>https://oklahomarules.blob.core.windows.net/titlepdf/Title_365.pdf</p> <p>After opening the link scroll to Title 365, then Chapter 25, then Subchapter 3, then Section 12</p>
<p>Title 36 O.S. §1449 Notice to Insured Individuals of Identities of Administrator, Policyholder, Insurer or Trust</p> <ul style="list-style-type: none"> - Information about Charge or Premium 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86568</p>
<p>Title 36 O.S. §1450 Application & Penalty Without Administrator License</p> <ul style="list-style-type: none"> - Eligibility - Home State & Nonresident Administrator Definitions - Partnership 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440297</p>

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<ul style="list-style-type: none"> - Application Form - License Term and Fee - License Renewal - Reporting of Administrative or Criminal Action - Hearings for Violations, Fines & Misdemeanor Convictions 	
<p>Title 36 O.S. §1452 Contents and Filing of Annual Report</p> <ul style="list-style-type: none"> - Annual Report or Annual Report Waiver - Filing Due Dates - Contents Required in the Annual Report Filing - Failure to File Executed Report Timely 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86571</p>
<p>Title 36 O.S. §1450 Biographical Affidavit & Background Reporting</p> <ul style="list-style-type: none"> - O&D Changes Report 30 Days of Any change - UCAA Form 11 Affidavit Form: https://content.naic.org/sites/default/files/ucaa-industry-naic-biographical-affidavit.pdf - NAIC Approved Background Vendors: https://content.naic.org/industry-ucaa-third-party 	<p>http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440290</p>
<p>OAC 365:1-9-11(3 & 4)</p> <ul style="list-style-type: none"> - Individual - Business Entity 	<p>https://oklahomarules.blob.core.windows.net/titlepdf/Title_365.pdf</p> <p>After opening the link scroll to Chapter 1, then Subchapter 9, then Section 11</p>
<p>Bulletin No. LH 2018-02 & PC 2018-02</p> <ul style="list-style-type: none"> - Stop Loss Insurance Coverage, aka Excess Loss, Excess Risk Coverage 	<p>https://www.oid.ok.gov/wp-content/uploads/2019/10/080918_Revised-Stop-Loss-BULLETIN-Final-08-2018.pdf</p>
<p>OAC 365:1-9-17.1 Refund of Fees</p>	<p>https://oklahomarules.blob.core.windows.net/titlepdf/Title_365.pdf</p> <p>After opening the link scroll to Chapter 1, then Subchapter 9, then Section 17.1</p>
<p>OK Case No. 15-1153-PRJ Electronic Filings of TPAs</p> <ul style="list-style-type: none"> - OPTins Login: www.optins.org - OPTins Help Desk: 816-783-8500 or optinshelp@naic.org 	<p>https://www.oid.ok.gov/wp-content/uploads/2019/10/101215_15-1153-PRJ-JAM-Order-10-07-15.pdf</p>
<p>Online Public License Search Tool</p> <ul style="list-style-type: none"> - NAIC State Based Systems (SBS) 	<p>https://sbs.naic.org/solar-external-lookup/</p>