BEFORE THE INSURANC STATE	CE COMMIS OF OKLAH	SIONER OF THE OMA
STATE OF OKLAHOMA, ex rel. GLEN MULREADY, Insurance Commissioner,	·)	SIONER OF THE FILED APR 1 9 2021 INSURANCE COMMISSIONER
Petitioner,)	TAHOMA SIONER
v.	ý	Case No. 21-0135-DIS
STEVE BROOKS INSURANCE AGENCY, INC., an applicant for a renewal resident insurance producer)	
license,)))	
Respondent.)	

CONDITIONAL ORDER AND NOTICE OF RIGHT TO BE HEARD

COMES NOW the State of Oklahoma, ex rel. Glen Mulready, Insurance Commissioner, by and through his attorney, Kayla M. Rochelle, and alleges and states as follows:

JURISDICTION

- 1. Glen Mulready is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq., including Oklahoma Producer Licensing Act, 36 O.S. §§ 1435.1 et seq.
- 2. Steve Brooks Insurance Agency, Inc. ("Respondent") is an applicant for a renewal resident insurance producer license in the State of Oklahoma, formerly holding license #100277101. Respondent's address of record is 930A Robtrice Ct., Edmond, Oklahoma 73034.

FINDINGS OF FACT

3. The Oklahoma Insurance Department's ("OID") records show that Respondent's license expired on or about January 31, 2021.

- 4. Respondent submitted an application to reinstate its insurance producer license on February 22, 2021.
- 5. Respondent failed to maintain an active license while conducting insurance business between February 1, 2021 to February 23, 2021, during which time Respondent sold approximately nineteen (19) insurance policies.
- 6. Pursuant to 36 O.S. § 1435.4(A), a person shall not sell, solicit or negotiate insurance in this state for any class or classes of insurance unless the person is licensed for that line of authority.
- 7. Pursuant to 36 O.S. § 1435.13(A)(2), the Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act for violating any insurance laws, or violating any regulation, subpoena or order of the Insurance Commissioner or of another state's Insurance Commissioner.
- 8. Pursuant to 36 O.S. § 1435.13(D), in addition to or in lieu of any applicable denial, probation, censure, suspension or revocation of a license, a person may, after opportunity for hearing, be subject to a civil fine of not less than One Hundred Dollars (\$100.00) nor more than One Thousand Dollars (\$1,000.00) for each occurrence.

CONCLUSIONS OF LAW

9. Respondent violated 36 O.S. § 1435.4(A) and 36 O.S. § 1435.13(A)(2) by selling nineteen (19) insurance policies without a license.

ORDER

IT IS THEREFORE ORDERED that Respondent is hereby FINED One Hundred Dollars (\$100.00). The fine is to be paid within thirty (30) days of the date of this Conditional Order and made payable to the Oklahoma Insurance Department. The fine may be paid by check,

cashier's check, or money order.

Commissioner that this Order is conditional. Unless the Respondent requests a hearing with respect to the Findings of Fact set forth above within thirty (30) days of the date of mailing of this Conditional Order, the penalties set forth above will become a Final Order on the thirty-first (31st) day following the date of mailing this Conditional Order. A request for hearing should be in writing addressed to Kayla M. Rochelle, Oklahoma Insurance Department, Legal Division, 400 N.E. 50th Street, Oklahoma City, Oklahoma 73105. The request for hearing must state the grounds for the request to set aside or modify this Conditional Order and must be received by the Oklahoma Insurance Department within the thirty (30) days allotted.

The hearing will be conducted in accordance with the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq. and the Oklahoma Administrative Procedures Act, 75 O.S. §§ 250 et seq. If Respondent serves a timely request for hearing on the Oklahoma Insurance Department, this Conditional Order shall act as a notice of the matters to be reviewed at the hearing and the Findings of Fact, Conclusions of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution at the hearing.

WITNESS My Hand and Official Seal this 19th day of April 2021.



GLEN MULREADY INSURANCE COMMISSIONER STATE OF OKLAHOMA

Kayla M. Rochelle, OBA #34014

Assistant General Counsel

Oklahoma Insurance Department

400 NE 50th Street

Oklahoma City, OK 73105 Telephone: (405) 521-2748

CERTIFICATE OF MAILING

I hereby certify that a true and correct copy of the above and foregoing Conditional Order

Steve Brooks Insurance Agency, Inc. 930A Robtrice Ct. Edmond, OK 73034

CERTIFIED MAIL NO.

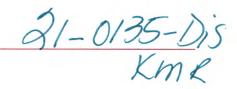
9214 8902 0982 7500 0363 35

and

A copy was delivered to:

Licensing Division





Date Produced: 04/26/2021

OKLAHOMA INSURANCE DEPARTMENT:

The following is the delivery information for Certified Mail™/RRE item number 9214 8902 0982 7500 0363 35. Our records indicate that this item was delivered on 04/22/2021 at 10:13 a.m. in EDMOND, OK 73034. The scanned image of the recipient information is provided below.

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20 C-14

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930 Rulst (7

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Sincerely, United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

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STEVE BROOKS INSURANCE AGENCY INC 930A ROBTRICE CT EDMOND OK 73034-5714