

BEFORE THE INSURANCE COMMISSIONER OF THE  
STATE OF OKLAHOMA

**FILED**  
APR 19 2021  
INSURANCE COMMISSIONER  
OKLAHOMA

STATE OF OKLAHOMA, ex rel. GLEN  
MULREADY, Insurance Commissioner,

Petitioner,

v.

STEVE BROOKS INSURANCE  
AGENCY, INC., an applicant for a  
renewal resident insurance producer  
license,

Respondent.

Case No. 21-0135-DIS

**CONDITIONAL ORDER**  
**AND NOTICE OF RIGHT TO BE HEARD**

COMES NOW the State of Oklahoma, ex rel. Glen Mulready, Insurance Commissioner,  
by and through his attorney, Kayla M. Rochelle, and alleges and states as follows:

**JURISDICTION**

1. Glen Mulready is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 *et seq.*, including Oklahoma Producer Licensing Act, 36 O.S. §§ 1435.1 *et seq.*

2. Steve Brooks Insurance Agency, Inc. (“Respondent”) is an applicant for a renewal resident insurance producer license in the State of Oklahoma, formerly holding license #100277101. Respondent’s address of record is 930A Robtrice Ct., Edmond, Oklahoma 73034.

**FINDINGS OF FACT**

3. The Oklahoma Insurance Department’s (“OID”) records show that Respondent’s license expired on or about January 31, 2021.

4. Respondent submitted an application to reinstate its insurance producer license on February 22, 2021.

5. Respondent failed to maintain an active license while conducting insurance business between February 1, 2021 to February 23, 2021, during which time Respondent sold approximately nineteen (19) insurance policies.

6. Pursuant to 36 O.S. § 1435.4(A), a person shall not sell, solicit or negotiate insurance in this state for any class or classes of insurance unless the person is licensed for that line of authority.

7. Pursuant to 36 O.S. § 1435.13(A)(2), the Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act for violating any insurance laws, or violating any regulation, subpoena or order of the Insurance Commissioner or of another state's Insurance Commissioner.

8. Pursuant to 36 O.S. § 1435.13(D), in addition to or in lieu of any applicable denial, probation, censure, suspension or revocation of a license, a person may, after opportunity for hearing, be subject to a civil fine of not less than One Hundred Dollars (\$100.00) nor more than One Thousand Dollars (\$1,000.00) for each occurrence.

#### **CONCLUSIONS OF LAW**

9. Respondent violated 36 O.S. § 1435.4(A) and 36 O.S. § 1435.13(A)(2) by selling nineteen (19) insurance policies without a license.

#### **ORDER**

**IT IS THEREFORE ORDERED** that Respondent is hereby **FINED One Hundred Dollars (\$100.00)**. The fine is to be paid within **thirty (30) days of the date of this Conditional Order** and made payable to the Oklahoma Insurance Department. The fine may be paid by check,

cashier's check, or money order.


**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** by the Insurance Commissioner that this Order is conditional. Unless the Respondent requests a hearing with respect to the Findings of Fact set forth above within **thirty (30) days of the date of mailing of this Conditional Order**, the penalties set forth above will become a Final Order on the thirty-first (31<sup>st</sup>) day following the date of mailing this Conditional Order. A request for hearing should be in writing addressed to Kayla M. Rochelle, Oklahoma Insurance Department, Legal Division, 400 N.E. 50<sup>th</sup> Street, Oklahoma City, Oklahoma 73105. The request for hearing must state the grounds for the request to set aside or modify this Conditional Order and must be received by the Oklahoma Insurance Department within the thirty (30) days allotted.

The hearing will be conducted in accordance with the Oklahoma Insurance Code, *36 O.S. §§ 101 et seq.* and the Oklahoma Administrative Procedures Act, *75 O.S. §§ 250 et seq.* If Respondent serves a timely request for hearing on the Oklahoma Insurance Department, this Conditional Order shall act as a notice of the matters to be reviewed at the hearing and the Findings of Fact, Conclusions of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution at the hearing.

**WITNESS** My Hand and Official Seal this 19<sup>th</sup> day of April 2021.



GLEN MULREADY  
INSURANCE COMMISSIONER  
STATE OF OKLAHOMA

  
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Kayla M. Rochelle, OBA #34014  
Assistant General Counsel  
Oklahoma Insurance Department  
400 NE 50<sup>th</sup> Street  
Oklahoma City, OK 73105  
Telephone: (405) 521-2748

**CERTIFICATE OF MAILING**

I hereby certify that a true and correct copy of the above and foregoing *Conditional Order and Notice of Right to be Heard* was sent by certified mail, with postage prepaid and return receipt requested, on this 19<sup>th</sup> day of April 2021 to:

Steve Brooks Insurance Agency, Inc.  
930A Robtrice Ct.  
Edmond, OK 73034

**CERTIFIED MAIL NO.**

9214 8902 0982 7500 0363 35

and

A copy was delivered to:

Licensing Division

  
\_\_\_\_\_  
Kayla M. Rochelle





21-0135-Dis  
Kmr

Date Produced: 04/26/2021

OKLAHOMA INSURANCE DEPARTMENT:

The following is the delivery information for Certified Mail™/RRE item number 9214 8902 0982 7500 0363 35. Our records indicate that this item was delivered on 04/22/2021 at 10:13 a.m. in EDMOND, OK 73034. The scanned image of the recipient information is provided below.

Signature of Recipient :

SB C-14  
SB C-14

Address of Recipient :

930 Robtrice Ct

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,  
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

This USPS proof of delivery is linked to the customers mail piece information on file as shown below:

STEVE BROOKS INSURANCE AGENCY INC  
930A ROBTRICE CT  
EDMOND OK 73034-5714

Customer Reference Number: C2645947.15254660