

## TPA Statutes and Rules

<p><b>Third Party Administrator Instructions &amp; Forms</b></p> <ul style="list-style-type: none"> <li>- <a href="http://www.oid.ok.gov">www.oid.ok.gov</a></li> </ul>	<p><a href="https://www.oid.ok.gov/regulated-entities/regulated-industry-services/#third-party-administrator">https://www.oid.ok.gov/regulated-entities/regulated-industry-services/#third-party-administrator</a></p>
<p><b>Title 36 O.S. §1442 Definitions</b></p> <ul style="list-style-type: none"> <li>- What is an Administrator</li> <li>- Exemptions from Administrator License</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86561">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86561</a></p>
<p><b>Title 36 O.S. §1443</b></p> <ul style="list-style-type: none"> <li>- Written Agreement to act as an Administrator</li> <li>- Copies of Trust Agreement &amp; Amendments to Insurer</li> <li>- Retention of Agreement</li> <li>- Examination, Audit, Inspection</li> <li>- Principal Administrative Office &amp; Access to Books &amp; Records</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86562">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86562</a></p>
<p><b>Title 36 O.S. §1444 Payments to Administrator</b></p> <ul style="list-style-type: none"> <li>- Premiums or Charges for Insurance</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86563">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86563</a></p>
<p><b>Title 36 O.S. §1445 Charges or Premiums Collected or Paid</b></p> <ul style="list-style-type: none"> <li>- Fiduciary Capacity and Account</li> <li>- Deposits, Withdrawals, Remittance</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86564">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86564</a></p>
<p><b>Title 36 O.S. §1446 Publishing and Advertising</b></p> <ul style="list-style-type: none"> <li>- Publication and Mailing of Advertising Material</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86565">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86565</a></p>
<p><b>Title 36 O.S. §1447 Material, Compensation, Licensed Insurance Agents</b></p> <ul style="list-style-type: none"> <li>- Policies, Certificates, Booklets, Notices, Written Communications</li> <li>- Compensation to Administrator</li> <li>- Use of Licensed Insurance Agents</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86566">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86566</a></p>
<p><b>Title 36 O.S. §1448 Surety Bond of Administrator</b></p> <ul style="list-style-type: none"> <li>- Requirements of the Surety Bond</li> <li>- Cancellation Notice</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86567">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86567</a></p>
<p><b>OAC 365:25-3-12(f) Amount of Surety Bond Required</b></p>	<p><a href="http://www.oar.state.ok.us/oar/codedoc02.nsf/frmMain?OpenFrameSet&amp;Frame=Main&amp;Src=75tnm2shfcdnm8pb4dthi0chedppmcbq8dtmmak31ctijuirgcln50ob7cki42tbkdt374obdcli00">http://www.oar.state.ok.us/oar/codedoc02.nsf/frmMain?OpenFrameSet&amp;Frame=Main&amp;Src=75tnm2shfcdnm8pb4dthi0chedppmcbq8dtmmak31ctijuirgcln50ob7cki42tbkdt374obdcli00</a></p> <p>After opening the link click <b>View Code</b>, then <b>Title 365</b>, then <b>Chapter 25</b>, then <b>Subchapter 3</b>, then <b>Section 12</b></p>
<p><b>Title 36 O.S. §1449 Notice to Insured Individuals of Identities of Administrator, Policyholder, Insurer or Trust</b></p> <ul style="list-style-type: none"> <li>- Information about Charge or Premium</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86568">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86568</a></p>
<p><b>Title 36 O.S. §1450 Application &amp; Penalty Without Administrator License</b></p> <ul style="list-style-type: none"> <li>- Eligibility</li> <li>- Home State &amp; Nonresident Administrator Definitions</li> <li>- Partnership</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440297">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440297</a></p>

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<ul style="list-style-type: none"> <li>- Application Form</li> <li>- License Term and Fee</li> <li>- License Renewal</li> <li>- Reporting of Administrative or Criminal Action</li> <li>- Hearings for Violations, Fines &amp; Misdemeanor Convictions</li> </ul>	
<p><b>Title 36 O.S. §1452 Contents and Filing of Annual Report</b></p> <ul style="list-style-type: none"> <li>- Annual Report or Annual Report Waiver</li> <li>- Filing Due Dates</li> <li>- Contents Required in the Annual Report Filing</li> <li>- Failure to File Executed Report Timely</li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86571">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86571</a></p>
<p><b>Title 36 O.S. §1450 Biographical Affidavit &amp; Background Reporting</b></p> <ul style="list-style-type: none"> <li>- O&amp;D Changes Report 30 Days of Any change</li> <li>- UCAA Form 11 Affidavit Form: <a href="https://naic.org/documents/industry_ucaa_form11.pdf?16">https://naic.org/documents/industry_ucaa_form11.pdf?16</a></li> <li>- NAIC Approved Background Vendors: <a href="https://content.naic.org/industry-ucaa-third-party">https://content.naic.org/industry-ucaa-third-party</a></li> </ul>	<p><a href="http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440290">http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440290</a></p>
<p><b>OAC 365:1-9-11(3 &amp; 4)</b></p> <ul style="list-style-type: none"> <li>- Individual</li> <li>- Business Entity</li> </ul>	<p><a href="http://www.oar.state.ok.us/oar/codedoc02.nsf/frmMain?OpenFrameSet&amp;Frame=Main&amp;Src=75tnm2shfcdnm8pb4dthi0chedppmcbq8dtmmak31ctijuirgcln50ob7cki42tbkdt374obdcli00">http://www.oar.state.ok.us/oar/codedoc02.nsf/frmMain?OpenFrameSet&amp;Frame=Main&amp;Src=75tnm2shfcdnm8pb4dthi0chedppmcbq8dtmmak31ctijuirgcln50ob7cki42tbkdt374obdcli00</a></p> <p>After opening the link click <b>View Code</b>, then <b>Title 365</b>, then <b>Chapter 1</b>, then <b>Subchapter 9</b>, then <b>Section 11</b></p>
<p><b>Bulletin No. LH 2018-02 &amp; PC 2018-02</b></p> <ul style="list-style-type: none"> <li>- Stop Loss Insurance Coverage, aka Excess Loss, Excess Risk Coverage</li> </ul>	<p><a href="https://www.oid.ok.gov/wp-content/uploads/2019/10/080918_Revised-Stop-Loss-BULLETIN-Final-08-2018.pdf">https://www.oid.ok.gov/wp-content/uploads/2019/10/080918_Revised-Stop-Loss-BULLETIN-Final-08-2018.pdf</a></p>
<p><b>OAC 365:1-9-17.1 Refund of Fees</b></p>	<p><a href="http://www.oar.state.ok.us/oar/codedoc02.nsf/frmMain?OpenFrameSet&amp;Frame=Main&amp;Src=75tnm2shfcdnm8pb4dthi0chedppmcbq8dtmmak31ctijuirgcln50ob7cki42tbkdt374obdcli00">http://www.oar.state.ok.us/oar/codedoc02.nsf/frmMain?OpenFrameSet&amp;Frame=Main&amp;Src=75tnm2shfcdnm8pb4dthi0chedppmcbq8dtmmak31ctijuirgcln50ob7cki42tbkdt374obdcli00</a></p> <p>After opening the link click <b>View Code</b>, then <b>Title 365</b>, then <b>Chapter 1</b>, then <b>Subchapter 9</b>, then <b>Section 17.1</b></p>
<p><b>OK Case No. 15-1153-PRJ Electronic Filings of TPAs</b></p> <ul style="list-style-type: none"> <li>- OPTins Login: <a href="http://www.optins.org">www.optins.org</a></li> <li>- OPTins Help Desk: 816-783-8500 or <a href="mailto:optinshelp@naic.org">optinshelp@naic.org</a></li> </ul>	<p><a href="https://www.oid.ok.gov/wp-content/uploads/2019/10/101215_15-1153-PRJ-JAM-Order-10-07-15.pdf">https://www.oid.ok.gov/wp-content/uploads/2019/10/101215_15-1153-PRJ-JAM-Order-10-07-15.pdf</a></p>
<p><b>Online Public License Search Tool</b></p> <ul style="list-style-type: none"> <li>- NAIC State Based Systems (SBS)</li> </ul>	<p><a href="https://sbs.naic.org/solar-external-lookup/">https://sbs.naic.org/solar-external-lookup/</a></p>