

BEFORE THE INSURANCE COMMISSIONER OF THE  
STATE OF OKLAHOMA

**FILED**

**MAR 30 2020**

STATE OF OKLAHOMA, ex rel. GLEN  
MULREADY, Insurance Commissioner,

INSURANCE COMMISSIONER  
OKLAHOMA

Petitioner,

v.

Case No. 20-0156-DIS

GRACE IRENE HOLLEY, an applicant for  
reinstatement of a resident insurance producer  
license in the State of Oklahoma,

Respondent.

**CONDITIONAL ADMINISTRATIVE ORDER AND NOTICE OF RIGHT TO BE  
HEARD**

COMES NOW the State of Oklahoma, ex rel. Glen Mulready, Insurance  
Commissioner, by and through counsel, Kayla M. Rochelle, and alleges and states as follows:

**JURISDICTION**

1. Glen Mulready is the Insurance Commissioner of the State of Oklahoma and is  
charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance  
Code, 36 O.S. §§ 101 et seq., including the Oklahoma Producer Licensing Act, 36 O.S. §§  
1435.1-1435.41.

2. Grace Irene Holley ("Respondent") was a licensed Oklahoma resident  
insurance producer, formerly holding license #40145376, with an address of record as 1700 N.  
Broadway Street Ste B, Moore, Oklahoma 73160.

3. The Insurance Commissioner has jurisdiction over the subject matter raised in  
this dispute and may issue penalties pursuant to 36 O.S. § 1435.13.

4. If Respondent requests a hearing in writing in this matter, as provided in  
Oklahoma Administrative Code § 365:1-7-1, the Insurance Commissioner, pursuant to 36 O.S.

§ 319, will appoint an independent hearing examiner who shall sit as a quasi-judicial officer and preside over the hearing. The ordinary fees and costs of such hearing examiner shall be assessed by the hearing examiner against Respondent, unless Respondent is the prevailing party.

5. Pursuant to Okla. Admin. Code § 365:1-7-5, the Insurance Commissioner, upon written request reasonably made by a person affected by the hearing and at such person's expense, shall cause a full stenographic record of the proceedings to be made by a competent court reporter.

#### **ALLEGATIONS OF FACT**

6. On or about March 6, 2020, Respondent submitted an application to the Oklahoma Insurance Department ("OID") to reinstate her resident insurance producer license.

7. The OID's records show that Respondent's license expired on July 31, 2019, and Respondent failed to renew said license at or prior to that time.

8. On or about March 17, 2020, Respondent submitted a letter of explanation and a list of all insurance that she sold, solicited, or negotiated after her license expired to the OID.

9. During the time Respondent's license was expired, she sold, solicited, or negotiated insurance to approximately thirty (30) clients in the State of Oklahoma.

#### **ALLEGED VIOLATIONS OF LAW**

10. Pursuant to 36 O.S. § 1435.4(A), "[a] person shall not sell, solicit, or negotiate insurance in this state for any class or classes of insurance unless the person is licensed for that line of authority in accordance with the Oklahoma Producer Licensing Act."

11. Respondent violated 36 O.S. § 1435.4(A) by selling, soliciting, or negotiating insurance without a current insurance producer license.

12. Pursuant to 36 O.S. § 1435.13(A)(2) and (D), the Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act, or may levy a civil penalty of not less than One Hundred Dollars (\$100.00) nor more than One Thousand Dollars (\$1,000.00) for each occurrence to any person that violates any insurance laws, or violates any regulation, subpoena or order of the Insurance Commissioner.

### **ORDER**

**IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED** by the Insurance Commissioner, subject to the following paragraph, that Respondent is hereby **FINED one hundred dollars (\$100.00)** for violating 36 O.S. § 1435.4(A). The **\$100.00 fine is to be paid within thirty (30) days of the date** of this Conditional Administrative Order and made payable to the Oklahoma Insurance Department.

Respondent is further notified that she may request a hearing within **thirty (30) days** of the date of mailing of this Order. If Respondent does not request a hearing within **thirty (30) days** of the date mailing of this Order, it shall become a **FINAL ORDER** on the thirty-first (31<sup>st</sup>) day following mailing of this Order.

A request for hearing shall be made in writing to Kayla M. Rochelle, Assistant General Counsel, Oklahoma Insurance Department, Legal Division, 400 NE 50<sup>th</sup> Street, Oklahoma City, Oklahoma 73105. The request for hearing must state the grounds to set aside or modify this Order. Upon such request, a hearing shall be conducted before an independent hearing examiner.

The hearing will be conducted in accordance with the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq. and the Oklahoma Administrative Procedures Act, 75 O.S. §§ 250 et seq.

If Respondent timely serves a request for hearing, this Conditional Order shall act as a notice of the matters to be reviewed at the hearing, and the Allegations of Fact, Alleged Violations of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution of this matter through hearing.

**WITNESS** My Hand and Official Seal this **26rd** day of **March** 2020.



GLEN MULREADY  
INSURANCE COMMISSIONER  
STATE OF OKLAHOMA

s/ Kayla M. Rochelle  
Kayla M. Rochelle, OBA #34014  
Assistant General Counsel  
Oklahoma Insurance Department  
400 NE 50<sup>th</sup> Street  
Oklahoma City, Oklahoma, 73105  
Telephone: (405) 521-4036  
Facsimile: (405) 522-0125  
Email: Kayla.Rochelle@oid.ok.gov

**CERTIFICATE OF SERVICE**

I hereby certify that a true and correct copy of the above and foregoing Conditional Administrative Order was mailed by regular and certified mail, with postage prepaid and return receipt requested, on this 30<sup>th</sup> day of March, 2020 to:

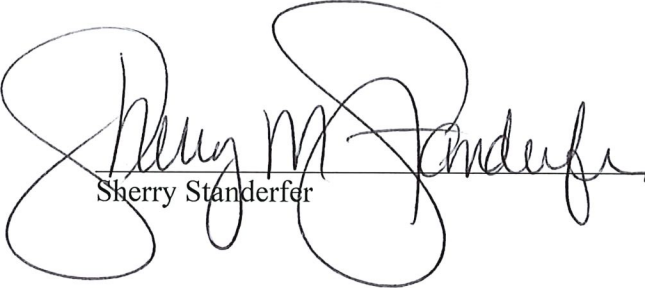
Grace Holley  
1700 N. Broadway Street  
Ste B  
Moore, OK 73160

**CERTIFIED MAIL NO.**

**9214 8902 0982 7500 0282 17**

and a copy was delivered to:

Nicole Godfrey  
Agent Licensing Division

  
Sherry Standerfer



Date Produced: 04/06/2020

OKLAHOMA INSURANCE DEPARTMENT:

The following is the delivery information for Certified Mail™/RRE item number 9214 8902 0982 7500 0282 17. Our records indicate that this item was delivered on 03/31/2020 at 08:39 a.m. in OKLAHOMA CITY, OK 73160. The scanned image of the recipient information is provided below.

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TAMMY Breen  
PS 60006 C-19

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**1700 N BROADWAY ST  
STE B MOORE, OK 73160**

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,  
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

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GRACE HOLLEY  
1700 N BROADWAY ST STE B  
MOORE OK 73160-4645

Customer Reference Number: C2006898.11433277

Return Reference Number: SMS/20-0156-DIS (KMR)/Cond Ord

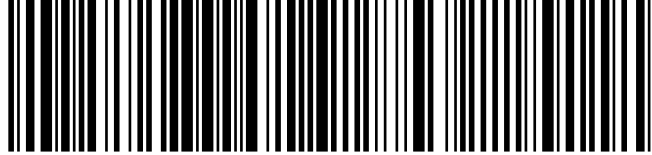
**Return address:**

OKLAHOMA INSURANCE DEPARTMENT  
5 CORPORATE PLAZA  
OKLAHOMA CITY, OK 73112

**Recipient address:**

GRACE HOLLEY  
1700 N BROADWAY ST STE B  
MOORE OK 73160-4645

MAILING DATE: 03/30/2020  
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USPS Tracking History	Location	Date / Time
PRE-SHIPMENT INFO SENT USPS AWAITS ITEM	OKLAHOMA CITY,OK 73105	03/30/2020 15:41
SHIPMENT RECEIVED ACCEPTANCE PENDING	OKLAHOMA CITY,OK 73118	03/30/2020 16:07
ORIGIN ACCEPTANCE	OKLAHOMA CITY,OK 73105	03/30/2020 18:53
PROCESSED THROUGH USPS FACILITY	OKLAHOMA CITY,OK 73107	03/30/2020 20:08
DEPART USPS FACILITY	OKLAHOMA CITY,OK 73107	03/30/2020 21:10
PROCESSED THROUGH USPS FACILITY	OKLAHOMA CITY,OK 73107	03/31/2020 02:34
ARRIVAL AT UNIT	OKLAHOMA CITY,OK 73160	03/31/2020 05:28
OUT FOR DELIVERY	OKLAHOMA CITY,OK 73160	03/31/2020 07:10
DELIVERED LEFT WITH INDIVIDUAL	OKLAHOMA CITY,OK 73160	03/31/2020 08:39

CASE NUMBER: 20-0156-DIS

DEPARTMENT: LEGAL/KMR

SENDER: SMS

CUSTOM 4: CONDITIONAL ADMINISTRATIVE ORDER & NOTICE OF RIGHT TO BE HEARD