BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF OKLAHOMA FILED STATE OF OKLAHOMA, ex rel. GLEN MULREADY, Insurance Commissioner, Petitioner, OKLAHOMA Petitioner, OKLAHOMA Petitioner, OKLAHOMA Petitioner, Respondent.

CONDITIONAL ADMINISTRATIVE ORDER AND NOTICE OF RIGHT TO BE HEARD

COMES NOW the State of Oklahoma, *ex rel*. Glen Mulready, Insurance Commissioner, by and through counsel, Brian C. Chandler, and alleges and states as follows:

JURISDICTION AND AUTHORITY

- 1. Glen Mulready is the Insurance Commissioner of the State of Oklahoma ("Commissioner") and administers and enforces the Oklahoma Insurance Code, 36 Okla. Stat. Ann. §§ 101 et seq., including the Oklahoma Producer Licensing Act, 36 Okla. Stat. Ann. §§ 1435.1, et seq. The Commissioner is the chief executive officer of the Oklahoma Insurance Department ("Department"). 36 Okla. Stat. Ann. § 301.
- 2. The Commissioner has jurisdiction over the subject matter raised in this administrative action and may issue penalties pursuant to 36 Okla. Stat. Ann. § 1435.13.
- 3. Edmond Insurance Agency LLC ("Applicant") is a renewal applicant for a resident insurance producer license in the State of Oklahoma holding license number 100102639. Applicant's mailing address of record with the Department is 2917 S. Bryant Ave., Ste. A,

Edmond, OK 73013.

- 4. The Commissioner may censure, suspend, revoke, or refuse to issue or renew a license and/or may levy a fine up to One Thousand Dollars (\$1,000.00) for each occurrence of a violation of the Oklahoma Insurance Code pursuant to 36 Okla. Stat. Ann. § 1435.13(D).
- 5. If the Applicant requests a hearing in writing in this matter, the Commissioner will appoint an independent hearing examiner who shall sit as a quasi-judicial officer and preside over the hearing. Okla. Admin. Code 365:1-7-1; 36 Okla. Stat. Ann. § 319.
- 6. Upon written request reasonably made by a person affected by the hearing and at such person's expense, the Commissioner shall cause a full stenographic record of the proceedings to be made by a competent court reporter. *Okla. Admin. Code* 365:1-7-5.

PROPOSED FINDINGS OF FACT

- 7. Applicant's resident insurance producer license expired on or about April 30, 2019.
 - 8. Applicant submitted a renewal application on June 5, 2019.
- 9. Applicant provided a list of twenty-seven (27) insurance policies sold, solicited, and/or negotiated by Applicant from May 1, 2019 to present in the State of Oklahoma without proper licensure by the Commissioner.

PROPOSED CONCLUSIONS OF LAW

10. Applicant has violated 36 Okla. Stat. Ann. § 1435.13(A)(2) by violating 36 Okla. Stat. Ann. § 1435.4 whereas Applicant sold, solicited, and/or negotiated insurance policies without proper licensure from the Commissioner.

ORDER

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED by the Insurance

Commissioner, subject to the following paragraph, that Applicant is hereby FINED ONE HUNDRED DOLLARS (\$100.00) for a violation of 36 Okla. Stat. Ann. § 1435.13(A)(2) by violating 36 Okla. Stat. Ann. § 1435.4 whereas Applicant sold, solicited, and/or negotiated twenty-seven (27) insurance policies within the State of Oklahoma without proper licensure by the Commissioner. The fine is to be paid within thirty (30) days of receipt of this conditional administrative order and made payable to the Oklahoma Insurance Department. The application for the renewal of applicant's resident insurance producer license shall be granted upon receipt of payment of the fine. Failure to pay the civil fine, or request a hearing within thirty (30) days of receipt of this conditional administrative order, will result in Applicant's renewal application being withdrawn.

Commissioner that this order is a conditional administrative order. Unless the Applicant requests a hearing with respect to the proposed findings of fact set forth above within thirty (30) days of receipt of this conditional administrative order, this conditional administrative order and the penalties set forth above shall become a final administrative order on the thirty-first (31st) day following receipt of this conditional administrative order by the Applicant. A request for hearing shall be in writing and addressed to Brian C. Chandler, Assistant General Counsel, Oklahoma Insurance Department, 3625 NW 56th St., Suite 100, Oklahoma City, Oklahoma 73112. The request for hearing shall state the grounds for the request to set aside or modify the conditional administrative order. The request shall be served on the Oklahoma Insurance Department within the thirty (30) days allotted. The proceedings on such requested hearing will be conducted in accordance with the Oklahoma Insurance Code, 36 Okla. Stat. Ann. §§ 101 et seq., and the Oklahoma Administrative Procedures Act, 75 Okla. Stat. Ann. §§ 250 through 324. If the

Applicant serves a timely request for hearing on the Oklahoma Insurance Department, this conditional administrative order shall act as a notice of the matters to be reviewed at the hearing and the proposed findings of fact, proposed conclusions of law, and penalties imposed in this conditional administrative order shall be considered withdrawn, pending final resolution at the hearing.

WITNESS My Hand and Official Seal this 12th day of June, 2019.



GLEN MULREADY INSURANCE COMMISSIONER STATE OF OKLAHOMA

Brian C. Chandler OBA#31016

Assistant General Counsel Oklahoma Insurance Department 3625 NW 56th Street, Suite 100 Oklahoma City, Oklahoma 73112

(405) 521-2746

CERTIFICATE OF MAILING

I, Brian C. Chandler, hereby certify that a true and correct copy of the above and foregoing Conditional Administrative Order and Notice of Right to be Heard was sent via certified mail with return receipt requested and postage pre-paid on this 18 day of June, 2019,

to:

Edmond Insurance Agency LLC 2917 S. Bryant Ave., Ste. A Edmond, OK 73013 CERTIFIED MAIL NO. 9214 8902 0982 7500 0215 22

and a copy was delivered to:

Lorie Jones, Licensing Administrator Licensing Division

Brian C. Chandler
Brian C. Chandler

Oklahoma Insurance Departmen 3625 NW 56th, Suite 100 OKC, OK 73112 Legal Division

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Legal Division

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