



Oklahoma Insurance Department
State of Oklahoma SURPLUS LINES INSURANCE BROKER FORM
 SL-3c

 Quarter & Year submitted

 Name of Broker

 License #

 of
 Agency Name (if applicable)

 Brokers Mailing Address

 City

 State

 Zip

1. Pursuant to Title 36 O.S.§1106, I hereby certify that insurance required to protect the interest of the assured cannot be procured from authorized insurers after direct inquiry to such insurers. Pursuant to Title 36 O.S. §1106.2, a surplus lines licensee or broker is not required to make a due diligence search to determine whether the full amount or type of insurance can be obtained from admitted insurers when the surplus lines licensee or broker is seeking to procure or place flood insurance with a non-admitted insurer.

2. Details of the procurement from the unauthorized insurer is summarized as follows:

A. Policy or Certificate No. _____ Effective from _____ to _____

New Procurement _____ Renewal _____ Audit _____

B. _____
 Name of Unauthorized Insurer Oklahoma Company Number

C. _____
 Address of Unauthorized Insurer

D. _____
 Name/Address of person named in the policy to whom the Commissioner shall send copies of legal process

E. _____
 Name of Insured

F. _____
 Insured's Principle Mailing Address City / State / Zip

G. _____
 Location and Description of Risk (*instances of multi-state exposure; provide Oklahoma location*)

H. _____
 Kind and Class of Coverage (*example: Kind-Professional Liability / Class-Medical Malpractice*)

I. _____
 Amount of Coverage (*instances of multi-state exposure; provide both overall and Oklahoma coverage*)

3. The coverage was not knowingly placed with an unauthorized insurer that is in unsound financial condition.
4. The unauthorized insurer has been approved in writing by the Insurance Commissioner as a Surplus Line Insurer, and such approval has not been withdrawn.
5. Surplus tax has been calculated in accordance with Title 36 O.S.§1115(B), as follows:

Gross Premium Charges (includes policy, broker or service fee) \$ _____

Less: **Federal Taxes:** _____

State Taxes: _____

Examination Fees: _____

Taxable Premium and Fees: _____

***6% Tax \$** _____

**Policies sold to federally recognized Indian tribes shall be reported as provided in Section 1107 of this title; however, such policies shall be exempt from the surplus line tax to the extent that the Insurance Commissioner can identify that coverage is for risks which are wholly owned by a tribe and located within Indian Country, as defined in Section 1151 of Title 18 of the United States Code.

***Pursuant to Title 36 O.S. Section 1115(J), flood insurance policies where Oklahoma is the home state of the insured and the insurance covers properties, risks, or exposures located in Oklahoma shall be exempt from the surplus line premium tax.