

Service Warranty Certified Compliance Filing Checklist

(This form is applicable to each service warranty contract)

Review Requirements	Description of Standard Requirements for Service Warranty Contracts	Y/N	Location of the Provisions (pg #)
Contract Name: _____			
Contract Number: _____			
Is this a Service Warranty 15 § 141.1 et. seq.?		Y <input type="radio"/> N <input type="radio"/>	_____
Cancellation Provisions 15 § 141.13(B)			
Cancellation Provisions - Canceled by Warranty Holder 15 § 141.13(B)	Return of 90% of unearned pro rata provider fee less the actual cost of any service provided.	Y <input type="radio"/> N <input type="radio"/>	_____
Cancellation Provisions - Canceled by the Association 15 § 141.13(B)	Return of premium shall be based upon 100% of unearned pro rata provider fee less the actual cost of any service provided.	Y <input type="radio"/> N <input type="radio"/>	_____
Service Warranty Association (Obligor) Name & Address 15 § 141.13(C)	Service warranties shall state the name and address of the service warranty association and shall identify any administrator if different from the service warranty association. Name: _____ Address: _____		_____
Administrator Name & Address if different from the SW Association 15 § 141.13(C)	Service warranties shall state the name and address of the service warranty association and shall identify any administrator if different from the service warranty association. Name: _____ Address: _____		_____
Service Warranty Association License Number preprinted 15§ 141.13(C)	For service warranties issued on and after July 1, 2017, the identity of the service warranty association and its license number shall be preprinted on the service warranty. License Number: _____		_____
Or Will Be Added At The Time Of Sale 15§ 141.13(C)	Or added at the time of sale so consumers can clearly identify the obligor of the service warranty.	Y <input type="radio"/> N <input type="radio"/>	_____
Jane Doe <u>Requirement</u> 15§ 141.13(C)	Information to be printed at the time of sale shall be indicated as such at the time the service warranty is filed and a "Jane Doe" specimen shall accompany the service warranty illustrating how the service warranty will look after printing. Attach to the SERFF Supporting Documentation Tab		_____
Service Warranty Association License Number 15§ 141.13(C)	Revisions to the Department's back office system required a change in Service Warranty License numbers. Review the current license and ensure the form includes the up-to-date license number. 4 _____ or 5 _____		_____
<u>Required</u> Disclosure Statement 15§ 141.21	"This is not an insurance contract. Coverage afforded under this contract is not guaranteed by the Oklahoma Insurance Guaranty Association".	Y <input type="radio"/> N <input type="radio"/>	_____
<u>Is</u> the Contract filed is in compliance with 15 §141.26?	Acts and Practices Constituting Unfair Methods of Competition and Unfair or Deceptive Acts.	Y <input type="radio"/> N <input type="radio"/>	_____
Do you have a Bond 15 § 141.6(A) or Contractual Liability Insurance Policy (CLIP) 15 § 141.6(B) (1-3)?	SERFF Tracking #: _____ or Bond #: _____	Y <input type="radio"/> N <input type="radio"/>	_____
Does the CLIP provide a 100% of claim exposure for this SW contract?		Y <input type="radio"/> N <input type="radio"/>	_____
Certified Statement (Filing will be rejected if the above information is not included)	Signature: _____		Date: _____