BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF OKLAHOMA	
STATE OF OKLAHOMA, ex rel. JOHN	MSGRANCE 4 2016
DOAK, Insurance Commissioner,	OK AHOMINO
Petitioner,	) )
<b>v.</b>	) Case No. 16-0985-DEN
ROGER BURKE,	)
an applicant for a resident insurance	)
producer license,	)
	)
Respondent.	) ,

# CONDITIONAL ADMINISTRATIVE ORDER AND NOTICE OF RIGHT TO BE HEARD

**COMES NOW** the State of Oklahoma, ex rel. John Doak, Insurance Commissioner, by and through his counsel, Sara A. Worten, and alleges and states as follows:

#### **JURISDICTION**

- 1. John Doak is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq., including the Oklahoma Producer Licensing Act, 36 O.S. §§ 1435.1 through 1435.41.
- 2. Respondent Roger Burke ("Respondent") is an applicant for a resident insurance producer license in the State of Oklahoma. Respondent's mailing address of record is 3145 SW 28<sup>th</sup> Street, Oklahoma City, OK 73108.
- 3. The Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act and/or may levy a fine up to \$1,000.00 for each occurrence of a violation of the Oklahoma Insurance Code. 36 O.S. § 1435.13(A) and (D).

#### **ALLEGATIONS OF FACT**

- 1. Respondent applied for a resident insurance producer license on or about October 9, 2016, with the Oklahoma Insurance Department ("OID"). On the application form, question 1A asks the following: "Have you ever been convicted of a misdemeanor, had a judgment withheld or deferred, or are you currently charged with committing a misdemeanor?" Respondent answered "no" to this question.
- 2. The application provides that individual applicants can only exclude "the following misdemeanor convictions or pending misdemeanor charges: traffic citations, driving under the influence (DUI), driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license." Applicants are also permitted to "exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)."
- 3. An Oklahoma Supreme Court Network ("OSCN") background check by the OID Licensing Division showed that Respondent had a judgment of sentence deferred for the misdemeanor crime of Possession of a Controlled Dangerous Substance Marijuana: State of Oklahoma v. Roger Burke, Case Number CM-13-1321.

### **ALLEGED VIOLATIONS OF LAW**

1. Respondent violated 36 O.S. § 1435.13(A)(1); providing incorrect, misleading, incomplete or materially untrue information in the license application.

#### **ORDER**

IT IS THEREFORE ORDERED by the Insurance Commissioner that Respondent is hereby CENSURED and FINED TWO HUNDRED FIFTY DOLLARS (\$250.00) for a violation of 36 O.S. § 1435.13(A)(1). The \$250.00 fine is to be paid within thirty (30) days

made payable to the Oklahoma Insurance Department. The \$250.00 civil fine shall be paid by money order or cashier's check. Respondent's application for a resident insurance producer license may be granted upon receipt of payment of the fine and reporting of the administrative action. Failure to pay the civil fine or request a hearing within thirty (30) days will result in your license application being withdrawn.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED by the Insurance Commissioner that this Order is a Conditional Order. Unless the Respondent requests a hearing with respect to the Allegations of Fact set forth above within thirty (30) days of the date of mailing of this Order, this Order and the penalties set forth above shall become a Final Order on the thirty-first day following the date of mailing this Order. A request for hearing should be in writing addressed to Sara A. Worten, Oklahoma Insurance Department, Legal Division, 3625 NW 56<sup>th</sup> St., Suite 100, Oklahoma City, Oklahoma 73112. The request for hearing must state the grounds for the request to set aside or modify the Order.

Any such hearing shall be conducted according to the procedures for contested cases under the Insurance Code and 75 O.S. § 250-323. If the Respondent serves a timely request for hearing on the Oklahoma Insurance Department, this Conditional Order shall act as notice of the matters to be reviewed at the hearing, and the Allegations of Fact, Alleged Violations of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution at the hearing.

# WITNESS My Hand and Official Seal this Att day of October, 2016.



JOHN DOAK INSURANCE COMMISSIONER STATE OF OKLAHOMA

Sara A. Worten

Assistant General Counsel 3625 NW 56<sup>th</sup> St., Suite 100 Oklahoma City, OK 73112 (405) 521-2746

## **CERTIFICATE OF MAILING**

Roger Burke 3145 SW 28<sup>th</sup> Street Oklahoma City, OK 73108

CERTIFIED MAIL NO.

7016 0910 0000 5833 3646

and a copy was delivered to:

Karen Wojtek Licensing Division

ara A. Worten

Assistant General Counsel



SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul> <li>Complete items 1, 2, and 3.</li> <li>Print your name and address on the RECENTED so that we can return the CATTOMA INSTRANCE DEPARTMENT OF THE PROPERTY OF TH</li></ul>	A. Signature  X  Agent  Addressee  Addressee  C. Date of Delivery  D. Is delivery address different from item 1?  If YES, enter delivery address below  No
Roger Burke 3145 SW 28TH Street Oklahoma City, OK 73108 16-0985-DEN/SAW(mt) (Cond.Adm.Ord. & Notice ~10-24-16)	Ruby Clement
9590 9402 1900 6104 4168 42  2 Article Number (Transfer from service label) 7016 0910 0000 5833 3646	3. Service Type  □ Adult Signature □ Adult Signature Restricted Delivery □ Certified Mail Restricted Delivery □ Collect on Delivery □ Collect on Delivery Restricted Delivery □ Insured Mail □ Insured Mail Restricted Delivery
DS Form 3811 July 2015 DSN 7520-02-000-0053	(over \$500)