

BEFORE THE INSURANCE COMMISSIONER OF THE
STATE OF OKLAHOMA

FILED
MAR 23 2016
INSURANCE COMMISSIONER
OKLAHOMA

STATE OF OKLAHOMA, ex rel. JOHN D.)
DOAK, Insurance Commissioner,)

Petitioner,)

v.)

Case No. 16-0091-DIS

TRISTAR BENEFIT)
ADMINISTRATORS, INC.,)
a non-resident third-party)
administrator,)

Respondent.)

CONSENT ORDER

COMES NOW the State of Oklahoma, ex rel. John D. Doak, Insurance Commissioner, by and through Counsel, Dan R. Byrd, and Tristar Benefit Administrators, Inc. ("Respondent") and enter into this Consent Order.

JURISDICTION

1. John D. Doak is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq.

2. Tristar Benefit Administrators, Inc. is licensed by the State of Oklahoma as a non-resident third-party administrator holding license number 864211. Its address of record is 3900 Westown Pkwy., Suite C, West Des Moines, IA 50266.

3. Respondent has been apprised of its rights including the right to a public hearing and has knowingly and freely waived said rights and entered into this Consent Order as a voluntary settlement of the issues and questions raised in the above captioned case.

STIPULATIONS OF FACT

1. On or before June 1 of each year, all licensed administrators shall file an annual report for the previous calendar year. The report shall have been reviewed by a certified public accountant who shall be independent of the administrator. The report shall be subscribed and sworn to by the president and attested to by the secretary or other proper officers substantiating that the information contained in the report is true and factual concerning each of the plans they administer which are governed pursuant to the Third-party Administrator Act. The report shall include the name and address of each fund and a statement of fund equity, paid claims by the covered unit, the accumulated year-to-date paid claims, and the year-to-date reserve status. Failure of any third-party administrator to execute and file the annual reports as required by this section shall constitute cause, after notice and opportunity for hearing, for censure, suspension, or revocation of administrator licensure to transact business in this state, or a civil penalty of not less than One Hundred Dollars (\$100.00) or more than One Thousand Dollars (\$1,000.00) for each occurrence, or both censure, suspension, or revocation and civil penalty. See 36 O.S. § 1452(A).

2. Respondent's Third-Party Administrator Annual Report for the year 2014 was due on or before June 1, 2015. Respondent failed to file its Third-Party Administrator Annual Report for the year 2014 with the Oklahoma Insurance Department (the "Department") as required by 36 O.S. § 1452(A), but has agreed to do so at the time of executing this Consent Order.

CONCLUSIONS OF LAW

1. Respondent is in violation of 36 O.S. § 1452(A) for failing to submit its Third-Party Administrator Annual Report for the year 2014 to the Department on or before June 1, 2015.

ORDER AND CONSENT

IT IS THEREFORE ORDERED by the Insurance Commissioner and CONSENTED to by Tristar Benefit Administrators, Inc. that it be fined in the amount of Five Hundred Dollars (\$500.00) for the above described violations of the Oklahoma Insurance Code due and payable to the Oklahoma Insurance Department within thirty (30) days after the date of this Order.

WITNESS My Hand and Official Seal this 23rd day of ~~February~~ March, 2016.



JOHN D. DOAK
INSURANCE COMMISSIONER
STATE OF OKLAHOMA

James C. Mills

JAMES MILLS
CHIEF OF STAFF

APPROVED:

[Signature]

DAN R. BYRD
Assistant General Counsel

Denise Cotter

RESPONDENT-SIGNATURE

Denise Cotter

PRINT NAME

CFO

TITLE

CERTIFICATE OF MAILING

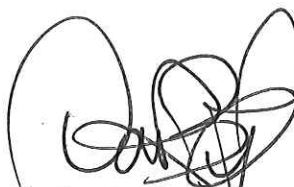
I, Dan R. Byrd, hereby certify that a true and correct copy of the above and foregoing Consent Order was mailed via certified mail with return receipt requested, and via regular mail, on this 23rd day of March, 2016, to:

Tristar Benefit Administrators, Inc.
ATTN: Nancy Henderson
100 Oceangate, Suite 700
Long Beach, CA 90802

CERTIFIED MAIL NO: 7015 3010 0001 4604 1256

and a copy was delivered to:

DeAnn Robinson/Financial Division



DAN R. BYRD
Assistant General Counsel
3625 NW 56th Street, Suite 100
Oklahoma City, Oklahoma, 73112
Tel. (405) 522-6330
Fax (405) 522-0125

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Tristar Benefit Administrators, Inc.
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 100 Oceangate, Suite 700
 Long Beach, CA 90802
16-0091-DIS/DRB(mt)
(Cosent Ord. ~ 3-23-16)

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Tristar Benefit Administrators, Inc.
 Attn: Nancy Henderson
 100 Oceangate, Suite 700
 Long Beach, CA 90802
16-0091-DIS/DRB(mt)
(Cosent Ord. ~ 3-23-16)

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