

BEFORE THE INSURANCE COMMISSIONER OF THE
STATE OF OKLAHOMA

FILED

MAY 11 2015

INSURANCE COMMISSIONER
OKLAHOMA

STATE OF OKLAHOMA, ex rel. JOHN D. DOAK, Insurance Commissioner,
Petitioner,
v.
SARAH RENEE TUBBY, an applicant
for a resident insurance producer license
Respondent.

Case No. 15-0413-DEN

ORDER OF LICENSE PROBATION

COMES NOW the State of Oklahoma, ex rel. John D. Doak, Insurance Commissioner, by and through his undersigned counsel and alleges and states as follows:

JURISDICTION

1. John D. Doak is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq.

2. Sarah Renee Tubby (“Respondent”) is an applicant for a resident insurance producer license in the State of Oklahoma. Her address of record is 1704 Cherry Ln., Del City, Oklahoma 73115.

3. The Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act and/or may levy a fine up to \$1,000.00 for each occurrence of a violation of the Oklahoma Insurance Code. 36 O.S. § 1435.13(A) and (D).

FINDINGS OF FACT

1. On or about April 14, 2015, Respondent applied for a resident insurance producer license with the Oklahoma Insurance Department (“OID”).

2. Question 1(B) in the license application form asks the following: “Have you ever been convicted of a felony, had a judgment withheld or deferred, or are you currently charged with committing a felony?” Respondent answered the question “yes”.

3. If the question is answered “yes” the applicant must provide a written statement explaining the circumstances of the incident; a copy of the charging document; and a copy of the official document demonstrating resolution of the charges or any final judgment.

4. Respondent complied with these requirements and provided information showing that she had previously plead guilty to two counts of concealing stolen property in the State of Oklahoma and had received a deferred sentence on both counts (Oklahoma County, Case No. CF-1999-5912).

5. Respondent provided a written statement concerning the matter.

6. Pursuant to 36 O.S. § 1435.13(A)(8), the Insurance Commissioner may revoke an insurance producer licensee for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness or financial irresponsibility in the conduct of business in this state or elsewhere.

CONCLUSIONS OF LAW

Respondent complied with Insurance Code requirements by disclosing the prior criminal charges against her. The Insurance Commissioner may place a licensee on probation for a violation of 36 O.S. § 1435.13(A).

ORDER

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED by the Insurance Commissioner that Respondent is granted a resident insurance producer license on a probationary status for a period of two (2) years. At the time of Respondent's renewal of her producer license, her probationary status shall be reviewed by the OID and the probationary status may be removed at that time. This Order shall be reported as an administrative action. If Respondent commits a violation of the Oklahoma Insurance Code, her license may thereafter be **REVOKED**.

WITNESS My Hand and Official Seal this 11th day of May, 2015.



JOHN D. DOAK
INSURANCE COMMISSIONER
STATE OF OKLAHOMA

Barron B. Brown

Barron B. Brown
Assistant General Counsel
Oklahoma Insurance Department
3625 NW 56th Street, Suite 100
Oklahoma City, OK 73112

CERTIFICATE OF MAILING

I, Barron B. Brown, hereby certify that a true and correct copy of the above and foregoing Order of License Probation was mailed via certified mail, with postage prepaid and return receipt requested, and via regular U.S. mail, on this 11th day of May, 2015 to:

Sarah Tubby
1704 Cherry Ln.
Del City, OK 73115

Certified Mail No.: **7014 2870 0000 5493 2224**

and that notification was sent to:

NAIC/RIRS

and that a copy was delivered to:

Karen Wojtek
Licensing Division



Barron B. Brown
Assistant General Counsel

7014 2870 0000 5493 2224

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 City, State, ZIP+4 _____

Sarah Tubby
 1704 Cherry Ln.
 Del City, OK 73115
 rlg/15-0413-DEN/Ord. Lic. Prob.

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Sarah Tubby
 1704 Cherry Ln.
 Del City, OK 73115
 rlg/15-0413-DEN/Ord. Lic. Prob.

RECEIVED
 OKLAHOMA INSURANCE DEPARTMENT
 MAY 20 2015
 Legal Division

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A. Signature: Sarah Tubby Agent Addressee

B. Received by (Printed Name): Sarah Tubby C. Date of Delivery: 5-18-15

D. Is delivery address different from item 1? Yes No
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