

BEFORE THE INSURANCE COMMISSIONER OF THE  
STATE OF OKLAHOMA

**FILED**  
SEP 26 2014  
INSURANCE COMMISSIONER  
OKLAHOMA

STATE OF OKLAHOMA, ex rel. JOHN D. )  
DOAK, Insurance Commissioner, )  
 )  
Petitioner, )  
 )  
v. )  
 )  
RIDGELINE CAPTIVE MANAGEMENT INC., )  
a resident third-party )  
administrator, )  
 )  
Respondent. )

Case No. 14-0947-DIS

**CONDITIONAL ADMINISTRATIVE ORDER**  
**AND NOTICE OF RIGHT TO BE HEARD**

COMES NOW the State of Oklahoma, ex rel. John D. Doak, Insurance Commissioner,  
by and through his undersigned attorney, Barron B. Brown, and alleges and states as follows:

**JURISDICTION**

1. John D. Doak is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq.
2. Ridgeline Captive Management Inc. is licensed by the State of Oklahoma as a resident third-party administrator holding license number 864335. Its address of record is 226 Dean A. McGee Ave., Suite 200, Oklahoma City, Oklahoma 73102.
3. The Commissioner may censure, suspend or revoke a third-party administrator's license or assess a civil penalty of not less than \$100.00 or more than \$1,000.00 for each occurrence of failing to file an annual report. 36 O.S. § 1452(A).

### ALLEGATIONS OF FACT

1. On or before June 1 of each year, all licensed administrators shall file an annual report for the previous calendar year. The report shall have been reviewed by a certified public accountant who shall be independent of the administrator. The report shall be subscribed and sworn to by the president and attested to by the secretary or other proper officers substantiating that the information contained in the report is true and factual concerning each of the plans they administer which are governed pursuant to the Third-Party Administrator Act. 36 O.S. § 1452(A).

2. Respondent's Third-Party Administrator Annual Report was due on or before June 1, 2014. As of the date of this Order, Respondent has failed to file its 2013 Annual Report.

### ALLEGED VIOLATIONS OF LAW

1. Respondent is in violation of 36 O.S. § 1452(A) by failing to submit its annual report on or before June 1, 2014.

### ORDER

**IT IS THEREFORE ORDERED** by the Insurance Commissioner that Ridgeline Captive Management Inc. is **CENSURED AND FINED FIVE HUNDRED DOLLARS (\$500.00)** in failing to submit its 2013 annual report on or before June 1, 2014. **The \$500.00 fine is to be paid within thirty (30) days** made payable to the Oklahoma Insurance Department. The \$500.00 civil fine shall be paid by money order or cashier's check.

**IT IS FURTHER ORDERED, ADJUDGED AND DECREED** by the Insurance Commissioner that this Order is a Conditional Order. Unless the Respondent requests a hearing with respect to the Allegations of Fact set forth above within thirty (30) days of the date of mailing of this Order, this Order and the penalties set forth above shall become a Final Order on

the thirty-first day following the date of mailing this Order. A request for hearing should be in writing addressed to Barron B. Brown, Oklahoma Insurance Department, Legal Division, 3625 NW 56<sup>th</sup> Street, Oklahoma City, Oklahoma 73112. The request for hearing must state the grounds for the request to set aside or modify the Order.

Any such hearing shall be conducted according to the procedures for contested cases under the Insurance Code and 75 O.S. § 250-323. If the Respondent serves a timely request for hearing on the Oklahoma Insurance Department, this Conditional Order shall act as notice of the matters to be reviewed at the hearing, and the Allegations of Fact, Alleged Violations of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution at the hearing.

WITNESS My Hand and Official Seal this 26<sup>th</sup> day of September, 2014.



JOHN D. DOAK  
INSURANCE COMMISSIONER  
STATE OF OKLAHOMA

*Barron B. Brown*

Barron B. Brown  
Assistant General Counsel  
3625 N.W. 56<sup>th</sup> Street, Suite 100  
Oklahoma City, OK 73112

**CERTIFICATE OF MAILING**

I, Barron B. Brown, hereby certify that a true and correct copy of the above and foregoing Conditional Administrative Order and Notice of Right to be Heard was mailed by certified mail with postage prepaid and return receipt requested on this 26<sup>th</sup> day of September, 2014 to:

Ridgeline Captive Management Inc.  
226 Dean A. McGee Ave., Suite 200  
Oklahoma City, OK 73102

**CERTIFIED MAIL NO: 7014 0150 0001 9588 3460**

and a copy was delivered to:

DeAnn Robinson/Financial Division



Barron B. Brown  
Assistant General Counsel



7014 0150 0001 9588 3460

**U.S. Postal Service™**  
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**Total Postage & Fee** **Ridgeline Captive Management, Inc.**  
**Sent To** **226 Dean A. McGee Ave., Suite 200**  
**Oklahoma City, OK 73102**  
**Street, Apt. No., or PO Box No.** **14-0947-DIS/BBB(mt)**  
**City, State, ZIP+4** **(Con. Adm. Ord. / 9-26-14)**

PS Form 3800, August 2006 See Reverse for Instructions

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

**Ridgeline Captive Management, Inc.**  
**226 Dean A. McGee Ave., Suite 200**  
**Oklahoma City, OK 73102**  
**14-0947-DIS/BBB(mt)**  
**(Con. Adm. Ord. / 9-26-14)**

2. Article Number  
(Transfer from service label)

7014 0150 0001 9588 3460

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature  
 x *Brook Barnell*  Agent  Addressee

B. Received by (Printed Name) *Brook Barnell* C. Date of Delivery *9-29-14*

Is delivery address different from item 1?  Yes  No  
 If YES, enter delivery address below:

RECORDED  
OKLAHOMA INSURANCE DEPARTMENT

OCT 01 2014

Legal Division

3. Service Type  
 Certified Mail  Express Mail  
 Registered  Return Receipt for Merchandise  
 Insured Mail  C.O.D.

4. Restricted Delivery? (Extra Fee)  Yes